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**Evolution of the Cenozoic Tarim Basin by flexural subsidence and sediment ponding: insights from quantitative basin modelling**

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**ABSTRACT**

The Tarim Basin became a closed continental sedimentary basin in the Cenozoic due to uplift of the western Kunlun, Tian Shan and the Altyn Tagh ranges in the context of the Indian-Asia collision. A north-northeast trending seismic profile across the basin reveals that Cenozoic strata comprise a wedge of sediments in the southern and northern regions generated by flexural subsidence overlain by a more uniform

regional sediment drape. We obtain the subsidence profiles across the basin at ~26 Ma, ~13 Ma, ~5 Ma and the present day using backstripping based on the sedimentary architecture shown in the seismic profile. We use a numerical finite elastic plate to model these subsidence profiles. Our modelling indicates that the southern and northern flexural depressions started to interfere to form a single flexural bulge within the basin since late Paleogene times. The flexural bulge migrated ~52 km toward the western Kunlun range since ~26 Ma, reflecting a decrease in the ratio of loads of the western Kunlun range versus the Tian Shan. We separate the sediment drape from the flexural subsidence according to the preceding modelling results. Our separating demonstrates that the thickness of the drape increased from  $230 \pm 30$  m at ~26 Ma to  $1910 \pm 200$  m at present, occupying ~30 % of Cenozoic strata in the profile. The rise in the base level since the Paleogene is estimated as  $356 \pm 80$  m by correcting for sediment loading of the drape. We adopt a decreasing basin width to model the evolution of the flexural subsidence, suggesting significant uplifting of the western Kunlun range and the Tian Shan from ~26 Ma to ~13 Ma, relative stable elevations in these ranges from ~13 Ma to ~5 Ma, and their rapid uplifts since ~5 Ma.

**Keywords:** Tarim Basin, Flexure, Pongding, Tian Shan, Western Kunlun

## 1. Introduction

The India-Asia collision caused large-scale intracontinental deformations ([Molnar and Tapponnier, 1975](#); [Tapponnier and Molnar, 1976](#); [England and Houseman, 1986](#)), and rejuvenated ancient orogens ([Avouac et al., 1993](#); [Yin et al., 1998](#); 2002; [Yin, 2000](#); [Yin and Harrison, 2000](#); [Cowgill, 2010](#); [Wang et al., 2013](#); [Li et al., 2019](#)). The Tarim Basin is bounded to the north by the Tian Shan, and to the southwest by the western Kunlun range and to the southeast by the Altyn Tagh range ([Fig. 1](#)). The basin became a closed continental sedimentary basin and was isolated from the

52 Paratethys Sea to the west due to the rejuvenations of these orogens ([Graham et al.,](#)  
53 [1993](#); [Jia et al., 1997](#); [Sobel et al., 2003](#); [Bosboom et al., 2011](#); [2014a](#); [2014b](#)).  
54 Basinward thrusting of the Tian Shan and western Kunlun range also caused  
55 subsidence in the southern and northern flexural depressions of the Tarim Basin  
56 ([Yang and Liu, 2002](#)). Presently, it is an internally draining basin with a regional  
57 slope dip of 0.0005 to the north such that rivers sourced in the western Kunlun Shan  
58 drain across the basin to the northern side ([Fig. 1](#)). This configuration was typical  
59 since the Paleogene which implies that the south is a source of sediment to the whole  
60 basin ([Sobel et al., 2003](#); [Wei et al., 2013](#); [Rittner et al., 2016](#)). The flexural profiles  
61 across the basin reveal that Cenozoic strata comprise a wedge of sediments in the  
62 southern and northern regions generated by flexural subsidence overlain by a more  
63 uniform regional sediment drape ([Jia et al., 1997](#); [Yu et al., 2014](#); [Li et al., 2015](#)).  
64 Despite the wealth of publications regarding the Cenozoic subsidence history of the  
65 Tarim Basin (e.g., [Cao et al., 1994](#); [Yang and Liu, 2002](#); [Yan et al., 2003](#)), the  
66 evolution of the sediment ponding in the basin is not well constrained. The flexural  
67 profile appears to be nearly smooth with a single flexural bulge within the basin. This  
68 implies that the northern and southern flexural depressions are not independent and  
69 depend upon the relative loading of the northern and southern margins. If they were  
70 independent, there would be two separate forebulges. When the southern and northern  
71 flexural depressions of the Cenozoic Tarim Basin started to interfere is an open  
72 question. This requires an alternative modelling approach than the standard analytic  
73 solutions for flexural foreland basins (e.g., [Flemings and Jordan, 1989](#); [Watts, 2001](#))  
74 to explore the evolution of the Cenozoic Tarim Basin by flexural subsidence and  
75 sediment ponding. We applied an elastic finite plate model with a tectonic load on  
76 either side to model the subsidence history in the basin. We will refer to this model as

a finite plate model (Fig. 2) (as opposed to the semi-infinite or infinite plate models that are generally used (e.g., Turcotte and Schubert, 2002)). Consequently, there will be implications for subsidence and regional tectonics.

The Tarim Basin is significantly draped, reflecting the internally drained systems of the basin (Fig. 1; Sobel et al., 2003). Standard models of the foreland basin do not take into account a regional drape (e.g., Flemings and Jordan, 1989; Sinclair et al., 1991; Sinclair, 2012). As a result, this is a process that is generally overlooked when dissecting subsidence profiles (e.g., Sinclair et al., 1991; Yang and Liu, 2002; Liu and Nummdal, 2004; Saura et al., 2015). The Tarim lithosphere underthrusts beneath the western Kunlun range and the Tian Shan since the late Paleogene (Gao et al., 2000; 2013; Khao et al., 2001; Zhao et al., 2003; Li et al., 2019), which caused a decrease in the width of the Tarim Basin (Fig. 2). It is also still an open question to determine how the decreasing basin width affected the subsidence history in the Tarim Basin (Cao et al., 1994; Yang and Liu, 2002; Yan et al., 2003). We explored the consequences of the regional sediment drape and the decrease of the basin width using the finite plate model.

We modelled the basement subsidence history using a two-dimensional (2-D) finite elastic plate model based on the sedimentary architecture shown in the north-northeast trending seismic profile (Fig. 2) across the basin. Our modelling indicates that the lithospheric flexures on the southern and northern sides of the basin have interfered with each other since late Paleogene times to form a single flexural bulge within the basin. The migration of the flexural bulge records the evolution of the relative loading on the southern and northern margins. In addition to the subsidence in the southern and northern flexural depressions, there is a significant component of base-level rise and draping of the basin caused by tectonic ponding and

internal drainage. We separated the sediment drape from the flexural subsidence, and its effects on the subsidence history in the basin were analyzed. We modelled the evolution of flexural subsidence with fixed and decreasing basin widths and discussed its implications on the growth of the western Kunlun range and the Tian Shan.

## **2. Geological background**

The Tarim Basin is flanked on the north by the Tian Shan, on the southwest by the western Kunlun range (Fig. 1). The western Kunlun range is a Paleozoic accretionary orogenic belt between the Tarim Basin and the Qiangtang terrain (Matte et al., 1996; Yin and Harrison, 2000; Xiao et al., 2002). The Tian Shan originated from the accretions of several island arcs and the collisions of continental blocks in the Paleozoic (Windley et al., 1990; Wang et al., 2007; Charvet et al., 2011). The late Cenozoic rejuvenation of these two orogens caused the subsidence of the northern and southern depressions of the basin. Cenozoic strata in the southern depression comprise the Kashi Group (subdivided into the Aertashi, Qimugen, Kalataer, Wulagen and Bashibulak Formations from the bottom up), the Wuqia Group (subdivided into the Keziluoyi, Anjuan and Pakabulak Formations in an ascending order), the Artux Formation and the Xiyu Formation in an ascending order (Fig. 3) (Xinjiang BGMR, 1993; Zhang et al., 2004). The Cenozoic sedimentary sequences in northern depression consist of the Kumugeliemu, Suweiyi, Jidike, Kangcun, Kuqa and Xiyu formations in an ascending order (Fig. 3) (Zhang et al., 2004). We divided the Cenozoic strata into four units using four continuous seismic reflectors named T8, T6, T5 and T3. The T8, T6, T5 and T3 represent the bottom of the Kumugeliemu and Kashi groups, the bottom of the Jidike Formation and the upper part of the Kuziluoyi Formation, the bottom of the Kangcun and the Pakabulak Formations and the bottom of the Kuqa and Artux Formations, respectively (Fig. 3). Li et al. 2018 correlated the

seismic reflectors with the magnetostratigraphic studies (Huang et al., 2006; Charreau et al., 2009; Zhang et al., 2014) in the foreland area of the southern Tian Shan, and timed the ages of T8, T6, T5 and T3 as ~66 Ma, ~26 Ma, ~13 Ma and ~5.3 Ma, respectively, assuming the four seismic reflectors are isochronous.

Sedimentological studies indicate the seaway connection between the Tarim and the Tajik basins during the Cretaceous and the Paleogene (Bosboom et al., 2011; 2014a; 2014b), implying that the base levels in these two basins were the same during the period (Fig. 1). The ponding of the Tarim Basin caused the regional sediment drape and the rise in the base level (Bosboom et al., 2011; Carrapa et al., 2015; Yang et al., 2015). However, the current base level in the Tarim Basin is ~530 m higher than the Tajik Basin (Fig. 1c).

### 3. Data

The basic data for the subsidence modelling in the Tarim Basin was derived from a ~610 km-long and 6-second-deep seismic profile (Fig. 4a) extending from the western Kunlun fold-thrust belt in the south to the Kuqa fold-thrust belt in the north (Fig. 1b). We converted the seismic time profile into a depth profile based on well logging data near this profile and the interpretations of the seismic profiles in the southern depression from Jiang et al. (2013). This seismic profile is oblique to these two fold-thrust belts, thus it does not represent the width of the basin. Admittedly, the width of the basin is one of the key parameters in our modelling (Fig. 1b). Hence, we projected the profile onto a straight line transverse to the basin axis (purple dashed line in Fig. 1b) so that it can be used in our 2-D finite plate model. The projected straight profile is perpendicular to the western Kunlun range and oblique to the Tian Shan (Fig. 1b). The Cenozoic strata in the projected profile are divided into four layers by T8, T6, T5 and T3 (Fig. 4b).

We obtained the profiles of basement subsidence at ~26 Ma, ~13 Ma, ~5 Ma and to the present-day by backstripping Cenozoic strata in the projected profile. Decompaction parameters used in the calculation are derived from [Sclater and Christie \(1980\)](#) ([Table 1](#)); the lithology, the density, the top and bottom depths of each layer are obtained from the projected profile and well logging data. The epicontinental sea only reached the western margin of the basin in the Paleogene and became eventually isolated from the basin in the late Eocene ([Bosboom et al., 2011; 2014a; Fig. 1](#)), thus we ignored the eustatic sea-level changes. The paleobathymetry is estimated based on the sedimentary environment analyses of each stratigraphic unit ([Guo et al., 2002; Li et al. 2004; 2005; Shao et al., 2006](#)), and incorporates broad error bars.

Effects of various factors on the lithospheric rigidity, such as thermal structures, lithospheric composition, and mechanical weakening because of densely developed basement faulting, may be lumped into the effective elastic thickness of the lithosphere ( $Te$ ) ([Burov and Diament, 1995; Yang and Liu, 2002](#)). Accordingly, the elastic constants of the lithosphere in the finite plate model are represented implicitly by its  $Te$  values. Standard values ([Aitken, 2011](#)) were used for Young's modulus  $E$  ( $5 \times 10^{10}$  Pa), Poisson's ratio  $\nu$  (0.25), crust density  $\rho_c$  ( $2700 \text{ kg m}^{-3}$ ), mantle density  $\rho_m$  ( $3300 \text{ kg m}^{-3}$ ) and gravitational acceleration  $g$  ( $9.81 \text{ m s}^{-2}$ ) in our model.

## **4. The finite plate model**

### **4.1. The numerical model**

We apply a 2-D finite elastic plate model to the subsidence modelling in the Cenozoic Tarim Basin. The elastic rheology has been applied to a large number of flexural basins ([Turcotte, 1979; Burov and Diament, 1992](#)), such as the central Alps



(Sinclair et al., 1991), the Sevier thrust belt (Liu and Nummdal, 2004), the Bolivian Andes (Prezzi et al., 2009), the NW Zagros (Saura et al., 2015) and the Tarim Basin (Yang and Liu, 2002). The cases indicate the 2-D elastic plate model can approximate the observed basement subsidence profiles in first-order.

Tectonic load and sediment load are imposed onto a finite elastic plate in the model. We call the tectonic load upon the horizontal datum as the topographic load. The topographic loads are reduced to two single line end loads with a width of 2 km imposed on the southern and northern boundaries of the plate (Fig. 5). Our model ignored the distribution of the thrust wedge topographic load due to lacking constraints for the rate of propagation of the thrust wedges of the western Kunlun range and the Tian Shan since the Paleogene. The major limitation of the end load is that it cannot simulate onlap of foreland sequence driven by changing the distribution of topography (Naylor and Sinclair, 2008), which do not affect the subsidence modelling.

To model the effect of the sediment load on the subsidence of the basement, we assume that the basin is filled to the horizontal datum (the top surface of the plate before deflection) by the sedimentary material with a density of 2683 kg/m<sup>3</sup> based on the average density of Cenozoic rocks from drilling data (Jia et al., 1997; Yang and Liu, 2002). We use the finite difference solution for the flexure equation based on a Python script called 'Gflex' (Wickert, 2016).

The main parameters in the finite plate model contain the length of the elastic plate  $W$  (representing the width of the basin),  $T_e$ ,  $E$ ,  $\nu$ ,  $L_S$  and  $L_N$  (topographic loads imposing on the southern and northern end of the plate),  $\rho_c$ ,  $\rho_m$ , density of the sedimentary material  $\rho_s$  and  $g$ .

The  $Te$ ,  $L_S$  and  $L_N$  are treated as variables in our modelling the subsidence history in the Tarim Basin. The optimal values for these three parameters are determined by minimizing the misfit between the observed and modelled subsidence profiles. There are certain trade-offs between the  $Te$ ,  $L_S$  and  $L_N$ . We applied the downhill simplex algorithm (Wright et al., 1996) to find the best combination of these three variables. We utilize Python's scipy fmin function to implement the downhill simplex algorithm. We model the best combination of these three variables using the trial-error method carrying out through a Python program based on the 'Gflex' and the 'fmin.'

## 4.2. Model test

### 4.2.1. Effects of asymmetric loading

We explore the effect of the load of the mountain belts on either side on the flexural profile of the finite plate (Fig. 5). Assuming the  $Te$  value of 80 km, the wavelength of the deflection of the elastic plate is calculated as ~881 km by the following equation (Turcotte and Schubert, 2002):

$$\lambda_0 = 2\pi \left( \frac{ETe^3}{12(1-\nu)(\rho_m - \rho_s)g} \right)^{1/4} \quad (1)$$

The first scenario of the model sets the basin width ( $W$ ) as 2500 km, which is over twice the value of  $\lambda_0$ . We apply identical topographic loads on both ends of the long elastic plate ( $L_S = L_N$ ) (Fig. 5a). Two separate flexural bulges and a flat region with a considerable distance between them is generated; the northern and southern deflections of the plate are symmetrical. The profiles are independent of the distant load and each could be modelled separately as a semi-infinite plate. In the second scenario,  $W$  is taken as 1200 km (which is less than twice  $\lambda_0$  but still greater than  $\lambda_0$ ), as well  $L_S$  and  $L_N$  are unchanged (Fig. 5b). The southern and northern

deflections of the elastic plate interfere; nevertheless, two separate flexural bulges are still present.

The third scenario sets  $W$  to 600 km which is less than  $\lambda_0$ , as well as symmetric  $L_s$  &  $L_n$  (Fig. 5c). The model indicates that the northern and southern deflections of the elastic plate are not independent, and only one single flexural bulge is present in the middle of the plate (Fig. 5c). We repeat the analysis but with a lighter load imposed upon the northern margin of the elastic plate ( $L_N < L_S$ ) in the fourth scenario (Fig. 5d). A deeper depression forms on the south side than on the north side; and yet the flexural bulge shifts towards the lighter load on the northern side. The consequence reveals that the migration of the central flexural bulge can reflect the relative topographic load on both sides of the basin under the case that  $W$  is less than  $\lambda_0$ . This configuration is closer to the profile across the modern Tarim Basin.

#### 4.2.2. Effects of sediment drape on subsidence of the basin

We also explore the effects of the sediment drape on the finite plate. Applying a uniform drape across the basin with constant flexural rigidity causes the whole basin to depress uniformly (Fig. 5e). This result suggests that the shift in the position of the flexural bulge with time is related to only the relative changes in load at both margins of the basin; the shift is insensitive to the effects of the long wavelength regional drape. Consequently, we can consider the evolutions of the sediment drape and the flexural subsidence, separately.

#### 4.2.3. Effects of $Te$ and basin width on deflection

Since elastic flexure is a linear process (Turcotte and Schubert, 2002), we may separately test the parameters determining the flexural deformation of the finite elastic plate ( $Te$  and  $W$ ). We construct two sets of experiments to test how the changing of

$Te$  and  $W$  affects the flexural deformation of the finite plate. For each set of experiments that investigate the role of one parameter, the other parameter is fixed.

In the first set of experiments,  $W$  is fixed at 600 km, and  $Te$  gradually increased from 70 km to 130 km. The ratio of  $W_{S,B}$  (the distance from the southern boundary of the basin to the crest of the bulge) and  $W$  is more sensitive to changes in the  $L_S/L_N$  under high  $Te$  value (Fig. 6a).  $Z_S$  and  $Z_N$  are the maximum subsidence in the south and north sides of the basin, respectively.  $Z_S/Z_N$  increased with  $L_S/L_N$  getting higher in the case that  $Te$  increases from 70 km to 130 km (Fig. 6b). Hence, the migration of the flexural bulge and  $Z_S/Z_N$  in a rigid lithosphere can distinctly reflect changes in the relative topographic load on either side.

In the second set of experiments,  $Te$  is fixed at 80 km, and  $W$  gradually decreases from 700 km to 400 km.  $W_{S,B}/W$  are more sensitive to changes in  $L_S/L_N$  in the case that the basin width is small (Fig. 6c).  $Z_S/Z_N$  is also more sensitive to changes in  $L_S/L_N$  under small  $W$  value (Fig. 6d). Consequently, the sensitivity of the flexural bulge's migration and  $Z_S/Z_N$  to the relative topographic loads increases with the decrease of  $W$ .

## 5. Modelling the subsidence history in the internally drained system

### 5.1. Calculation of the sediment drape

The growth of mountain topography induces the lithospheric flexural deformation to form a flexural depression in the foreland region in the classic foreland basin model (Allen and Allen, 2013). Sediments from the mountain range fill the depression to a base level (such as sea level). This model needs to be adapted to the model of the internally drained system. The assumption that a basin will fill to a fixed base level is not suitable in the case that the basin is internally drained, ponding all available sediment as a regional drape. Before modelling the evolution of flexural subsidence

coupled with the growth of mountain topography, we need to develop a correction that can estimate the evolution of the sediment drape with time and then separate it from the subsidence in the flexural depressions.

The flexural bulge has no sediments (in an underfilled basin) or the thinnest sediment (in an overfilled basin). We assumed that the uncompacted thickness of the sediments over the historical bulge is that of the drape sediments (Fig. 2). We subtracted the thickness of the drape of each layer from the corresponding layer; the remainder is the flexural subsidence caused by lithospheric flexure. Although these estimates, calculated from a 2-D seismic line across a large basin, have significant uncertainty, the first-order signal is identifiable with error bars accounting for the uncertainty. Given that the gradient of the present surface of the Tarim Basin is 0.0005, this can produce a ~10% correction to the drape thickness in basin margin at a distance of ~250 km from the bulge.

Additionally, several reverse faults tilted the Cenozoic strata in the basin, changing the shape of the middle part of the flexural profile (Figs. 4 and 5) (Lin et al., 2015; Li et al., 2016). We obtained a smooth flexural profile best fitting with the observed subsidence profile by using the finite plate model, ignoring the distortion caused by these reverse faults. Subsequently, we identified the positions of the historic flexural bulges of each layer in the best-fitting profile. We determined positions of the flexural bulge at ~26 Ma, ~13 Ma, ~5 Ma and the present, respectively.

The sedimental accommodation due to ponding, the space for the sediment drape, comprises the subsidence due to sediments load of the drape and the increased accommodation due to the rise in base level (Fig. 2). The former is the isostatic

respond to the drape. We can obtain the increased accommodation due to a rise in the base level by subtracting the former from the thickness of the drape.

## **5.2. Modelling the flexural subsidence**

We eliminated the effects of the drape to obtain the flexural subsidence by subtracting the sediment drape from the basement subsidence (Fig. 2).

We modelled the evolution of the flexural subsidence in the basin using the finite plate model, in order to analyze the evolution of flexural subsidence coupled with the growth of the mountain topography. We modelled the evolution of the flexural subsidence for fixed and decreasing  $W$  to explore the effects of the reduction in the basin width due to underthrusting on the flexural subsidence profile across the basin. The reduction in  $W$  over the four time intervals is equal to the sum of the cumulative underthrusting beneath the western Kunlun range and the Tian Shan (Fig. 2; Table. 2). The sums in four stages were derived from the forelandward onlap rate of the basin-scale filling sequences (Homewood et al., 1986; Sinclair and Allen, 1992; DeCelles and DeCelles, 2001; Naylor and Sinclair, 2008). The onlap rates were constrained by a fine analysis of the sedimentary architecture revealed by the seismic profiles crossing the foreland areas of the western Kunlun range and the Tian Shan, about 300 km west of our profile (Li et al., 2019). The analysis indicated the historic widths of the Tarim Basin at ~26 Ma, ~13 Ma, and ~5 Ma are 802 km, 719 km, and 569 km, respectively (Table. 2). The horizontal shortening rates across the western Kunlun range could vary along its strike (Wang et al., 2001; Zheng et al., 2017), suggesting that the underthrusting rates of the Tarim lithosphere could be different along its strike. Furthermore, the projected profile is oblique to the Tian Shan. Thus, the adopted values of the basin width could incorporate broad bias errors.

The evolutions of  $L_S$  and  $L_N$  shed new lights on the mountain building in the western Kunlun range and the Tian Shan.

## 6. Results

### 6.1 The sediment drape

We obtained the basement subsidence profiles at ~26 Ma, ~13 Ma, ~5 Ma and the present, respectively, by backstripping the Cenozoic strata (Figs. 7a-d). Figure 7 shows the modelling results of the subsidence profiles in the same reference system.

The best fitting results of these subsidence profiles (Figs. 7a-d) show a single flexural bulge within the basin since the Paleogene. The flexural bulge occurred in the site of 452 km in Figure 7 at ~26 Ma (Fig. 7a); it migrated to the site of 431 km at ~13 Ma (Fig. 7b), to the site of 419 km at ~5 Ma (Fig. 7c) and to the site of 400 km at present (Fig. 7d). The flexural bulge migrated a total of ~52 km southwards in Figure 7 since ~26 Ma. We calculated the thickness of the sediment drape regardless of the surface slope. The thickness of the sediment drape increased from  $230\pm30$  m to  $1910\pm200$  m from ~26 Ma to the present (Table. 3). About ~90% of the drape was deposited after ~26 Ma. The flexural subsidence appeared only in the south side of the profile at ~26 Ma, and occurred on either side after at least ~13 Ma. It reaches the maximum of 5 km in the southern end of the basin. The sediment drape occupies ~30% of the Cenozoic sediments in the profile. The thickness of the increased accommodation due to the rise in the base level is estimated as  $356\pm80$  m by correcting for sediment loading of the drape. It means that the base level in the Tarim Basin rose  $356\pm80$  m in the Cenozoic (Table 3). The base level rose  $40\pm15$  m from ~65 Ma to ~26 Ma,  $70\pm20$  m during ~26 Ma to ~13 Ma,  $90\pm20$  m during ~13 Ma to ~5 Ma, and  $150\pm25$  m from ~5 Ma to the present (Table 3). The results show that the

optimal value of  $Te$  gradually increases from 86 km to 98 km from ~26 Ma to the present.

The modelled load on the southern basin margin required to approximate the basin increased progressively from  $0.59 \times 10^9$  Pa to  $5.07 \times 10^9$  Pa from ~26 Ma to the present, and for the modelled load on the northern margin from  $0.37 \times 10^9$  Pa to  $4.45 \times 10^9$  Pa (Figs. 7a–d). This implies significant increased topography of the western Kunlun range and the Tian Shan during this interval.

## 6.2. The flexural subsidence

We modelled the evolution of flexural subsidence using the finite plate model with the fixed and decreasing  $W$ .

The flexural subsidence modelling indicates that the modelled load of the western Kunlun range required to generate the basin subsidence gradually increased from  $0.22 \times 10^9$  Pa to  $1.97 \times 10^9$  Pa since ~26 Ma to the present under the fixed current basin width of 521 km, and for the load of the Tian Shan from  $0.002 \times 10^9$  Pa to  $1.33 \times 10^9$  Pa (Figs. 7e–h; Table 4). They are only half of the topographic loads derived from the basement subsidence modelling, suggesting a possible overestimate in the former modelling. The ratio of topographic loads between the western Kunlun range and the Tian Shan ( $L_S/L_N$ ) decreased from 110 to 1.48 from ~26 Ma to present. It is compatible with the southward migration of the flexural bulge. The optimal value of  $Te$  increased from 38 km to 53 km from ~26 Ma to present. It is also only half of the  $Te$  values derived from the basement subsidence modelling (Figs. 7e–h). The model fit to the data improve (Fig. 7), but the main error is possibly explained by the distortion of the middle part of the flexural profile caused by the reverse faults.

The flexural subsidence modelling also suggests that the topographic load of the Tian Shan increased from  $0.004 \times 10^9$  Pa to  $0.68 \times 10^9$  Pa from ~26 Ma to ~13 Ma,



reaching half of its present load ( $1.33 \times 10^9$  Pa) under the basin width decreasing from 802 km at ~26 Ma to 521 km at ~13 Ma (Figs. 7i–l; Table 2). The topographic load of the western Kunlun range increased from  $0.75 \times 10^9$  Pa at ~26 Ma to  $1.64 \times 10^9$  Pa at ~13 Ma, nearly four-fifths of its current topographic load ( $1.97 \times 10^9$  Pa) (Table 4). These indicate that both the ranges had increased significantly in elevation and exceeded half of their current elevations at ~13 Ma. The topographic load of the western Kunlun range increased slightly to  $1.69 \times 10^9$  Pa, and the load of the Tian Shan decreased a little to  $0.56 \times 10^9$  Pa from ~13 Ma to ~5 Ma. The elevations of these two ranges remained relatively steady. The topographic load of the Tian Shan increased rapidly to  $1.33 \times 10^9$  Pa from ~5 Ma to the present; that of the western Kunlun range also expanded to  $1.97 \times 10^9$  Pa (Figs. 7i–l). This scenario is different from the progressive growth of these ranges revealed by the modelling with fixed  $W$ . The ratio of the topographic loads ( $L_S/L_N$ ) decreased from 186 to 1.48 since ~26 Ma. The flexural subsidence modelling demonstrates that the optimal value of  $Te$  was 75 km at ~26 Ma and reduced to 55 km at ~13 Ma; then it declined to 46 km at ~5 Ma, and rather increased to 53 km at present (Figs. 7i–l). Given the broad bias errors in backstripping and the adopted values of the basin width, there is uncertainty in the estimate of optimal value of  $Te$ .

### 6.3. The modelled subsidence history

We recovered the evolution of the basement subsidence and the depositional base level in the Tarim Basin with the decreasing width due to the Tarim lithosphere's underthrusting since ~26 Ma (Fig. 8) through forward. There was one flexural depression in the southern part of the profile at ~26 Ma. In contrast, no detectable flexure occurs in the northern part of the profile (Fig. 8a), reflecting a delay of mountain building in the Tian Shan relative to the western Kunlun range. Two

flexural depressions occurred in both sides of the profile from ~26 Ma to ~13 Ma. The basement subsidence increased by ~2 km in the northern depression during this stage and by ~3 km in the southern depression (Fig. 8b), suggesting significant uplifts of these two ranges. The basement subsidence in the middle part of the profile increased by ~0.5 km from ~13 Ma to ~5 Ma, and continued to increase rapidly by ~0.8 km from ~5 Ma to the present. Furthermore, the base level in the basin has risen rapidly by  $240 \pm 20$  m since ~13 Ma, causing an increase of ~1.3 km in the thickness of the sediment drape (Table 3). The subsidence due to the sediment load of the drape caused the acceleration of subsidence in the middle part of the basin. The basement subsidence increased by ~1 km in the southern and northern depressions from ~13 Ma to ~5 Ma. It continued to increase by ~1.5 km in the southern depression and by ~2 km in the northern depression since ~5 Ma. The basement subsidence reaches the maximum amount of ~6.5 km in the southern boundary of the profile (Figs. 8c & 8d).

## 7. Discussion

We applied the finite plate model to reconstruct the evolution of the flexural subsidence, the sediment drape in the basin and the topographic growth on basin margins according to the seismic profile across the Tarim Basin. Here we analyze the effects of the model parameters and its implications on the Cenozoic evolution of the basin and the mountain buildings in the western Kunlun range and the Tian Shan.

### 7.1. The optimal $T_e$ value

$T_e$  is one of key parameters for modelling lithospheric flexural deflection. In our model, the optimal  $T_e$  values were determined by minimizing the misfit between the observed and predicted subsidence profiles. Modelling the optimal values of  $T_e$  is affected by the sediment drape and the basin width  $W$  (Fig. 7). The optimal value of  $T_e$  for the lithosphere of the modern Tarim is 98 km derived from the basement

subsidence profiles. However, the modelling based on the flexural subsidence profiles generated a  $T_e$  value of 53 km at present. The  $T_e$  value of 53 km is closer to the median value between  $66 \pm 7$  km estimated from the lithospheric thermo-rheological structure (Liu et al., 2006) and 45 km from the averaging gravity-derived estimates (Jiang et al., 2004). Consequently, we probably overestimated the  $T_e$  value because of neglecting the effect of the sediment drape in the basement subsidence modelling.

The predicted optimal values of  $T_e$  increased from 38 km to 53 km since ~26 Ma in case that the value of  $W$  keeps constant. Many factors that affect lithospheric rheology (e.g., geothermal condition, lithospheric composition, and mechanical weakening) may be expressed through the  $T_e$  (Burov and Diament, 1995). The mechanical weakening due to rifting could cause a decrease in  $T_e$ , but could not cause an increase in it. There has been no magmatic activity in the Tarim Basin since the late Paleozoic (Yang et al. 2007), so the Tarim's lithosphere underwent little compositional change in the lithospheric composition during the Cenozoic. The effects of changes in the composition on  $T_e$  of the Tarim Basin is negligible and has not considered here. The last major tectono-thermal event in the Tarim Basin was the Early Permian basaltic eruption, which occurred at ca. 277 Ma (Yang et al. 2007), and paleogeothermal gradients in this area decreased slowly from 2.7–2.2 °C/100 m during the Paleogene to ~2.1 °C/100 m at present (Wang et al., 1995; Liu et al., 2006; Chang et al., 2017). The reason for the increasing  $T_e$  value of the Tarim's lithosphere is not clear, but the results are consistent with the cooling process in the Tarim Basin (Wang et al., 1995; Yang and Liu, 2002). Geothermal studies indicate an average heat flow of ~44 mW m<sup>-2</sup> in the modern Tarim Basin (Hu et al., 2000; Liu et al., 2015). The  $T_e$  values are sensitive to changes in the heat flow in the case that the average heat flow ranges from 40 mW m<sup>-2</sup> to 70 mW m<sup>-2</sup> (Hyndman et al., 2009; He et al., 2017).

Hence, the cooling process in the Tarim Basin may have played a more important role in the increase of the  $T_e$  value than the mechanical weakening and the lithospheric composition.

Moreover, the modelled optimal value of  $T_e$  decreased from ~26 Ma to ~5 Ma, and the value at ~26 Ma and ~13 Ma is even higher than the modern  $T_e$  value in cases that the value of  $W$  decreases. The decrease of  $T_e$  is contrary to the cooling process in the Tarim Basin (Liu et al., 2006; Chang et al., 2017). These two predictions indicate that a larger  $W$  value leads to a larger predicted optimal value of  $T_e$ . Consequently, the main factor potentially responsible for the abnormally high  $T_e$  value is possible our overestimating the value of  $W$  since ~26 Ma. Its decreases are equal to the cumulative underthrusting of the Tarim lithosphere (Fig. 2; Table 2). The underthrusting values were estimated from the forelandward onlaps identified from the seismic profile (Li et al., 2019), about 300 km west of our profile. GPS measurements show that the horizontal shortening rates across the western Kunlun range vary along its strike (Wang et al., 2001; Zheng et al., 2017), suggesting that the underthrusting rates of Tarim lithosphere could be different along its strike. Thus, the adopted values were probably too big, producing abnormally high values of  $T_e$  from ~26 Ma to ~5 Ma. Nonetheless, if the reduction in the basin width due to the underthrusting (Khao et al., 2001; Zhao et al., 2003; Gao et al., 2013) is completely overlooked, the  $T_e$  values for the ancient Tarim lithosphere must be underestimated.

Over the long term subsidence history of the Tarim basin, viscous flow and stress relaxation may be expected, which are not included in the purely elastic model. We expect the visco-elastic model would smooth the rate at which the slab profiles respond, particularly to changes in the relative load between the two ranges (Turcotte and Schubert, 2002). However, we do not have sufficient temporal resolution to tease

out the effects of plastic or viscous deformation based on the seismic profile. Therefore, the finite elastic plate model was used for modelling the subsidence profiles across the Tarim Basin.

## **7.2. Ponding of the Tarim Basin**

The underthrusting of the Tarim lithosphere beneath the western Kunlun range and the Tian Shan may progressively narrow the corridor between them during late Cenozoic times (Yin et al., 2002; Sobel et al., 2003; Wei et al., 2013; Bosboom et al., 2014a; 2014b; Yang et al., 2015), until a barrier caused the basin to become internally drained. The closure caused the sediments sourced from the ranges surrounding the Tarim Basin to be trapped to form the regional sediment drape; this was decoupled from the topographic growth in the ranges. The large internally drained area persists till now due to that uplift of surrounding ranges overwhelms the fluvial system and defeats the channel network in the arid region with low precipitation (Sobel et al., 2003). The thickness of the sediment drape increased from  $230\pm30$  m to  $1910\pm200$  m since ~26 Ma, occupying ~30% of Cenozoic strata in the profile, suggesting the same volume of the late Cenozoic in the basin formed due to ponding and the rest due to flexure. About eighty-nine percent of the sediment drape occurred after ~26 Ma (Table 3; Figs. 7a–d).

We estimated a rise of  $356\pm80$  m in the base level of the Tarim Basin in the Cenozoic, based on the thickness of the sediment drape (Fig. 7). The base level in the modern Tarim Basin is ~530 m higher than that of the Tajik Basin, almost the same in the Paleogene (Bosboom et al., 2011). The estimated rise in the base level is smaller than the difference between the current base levels of these two basins. This suggests that the proportion of the sediment drape to the total Cenozoic sediments in the basin could be even larger than our estimation (~30%). Yu et al. (2015) predicted that the

topography in the Tarim Basin uplifted 800 m during the Cenozoic relying on Airy local isostasy. It is significantly larger than our estimate and even the difference between the base levels in the Tarim and Tajik Basins (Fig. 1). This reveals that neglecting the deflection of the Tarim lithosphere may cause an overestimate of the basal level rise.

### 7.3. The growth of asymmetric topography on the basin margins

The uplifts of the western Kunlun range and the Tian Shan following the Indo-Asian collision caused flexural subsidence in the southern and northern margins of the Tarim basin (Lu et al., 1994; Yin et al., 1998; 2002; Yang and Liu, 2002). The asymmetric growth of these two ranges was recorded by the migration of the flexural bulge in the basin.

Our modelling reveals that the flexural subsidence generated by loading from the south and the north formed a common flexural bulge in the center of the basin since late Paleogene times. The flexural bulge migrated ~52 km southward from the site of 452 km at ~26 Ma to the site of 400 km at present (Fig. 7); this recorded a reduction in the ratio of the two loads of the western Kunlun range to the Tian Shan ( $L_S/L_N$ ) since ~26 Ma. The southward migration of the flexural bulge could be influenced by two controlling factors: the ratio of loads of the western Kunlun range versus the Tian Shan; the contrast in the lithospheric underthrusting rates beneath the two ranges (Sinclair and Naylor, 2012). The predicted decreasing ratio of the southern and the northern loads is consistent with the southward migration of the bulge. However, the contrast in the lithospheric underthrusting rates is not clear due to lack of constraints for the underthrusting rates along the profile since ~26 Ma as described in Section 7.1. This inference is also compatible with GPS measurements that the current shortening

rate across the western Kunlun range of  $3 \pm 2$  mm/yr (Wang et al., 2011) is less than that across the middle Tian Shan of  $\sim 10$  mm/yr (Zubovich et al., 2010).

#### **7.4. Implications of modelling under decreasing basin width for topographic growth**

The Tarim Basin narrowed since late Paleogene times (Fig. 2), due to underthrusting of the Tarim lithosphere beneath the western Kunlun range (Khao et al., 2001; Gao et al., 2000) and the Tian Shan (Zhao et al., 2003; Gao et al., 2013; Li et al., 2019). The mechanics of the subsidence process under the decreasing Tarim Basin width is still debatable (e.g., Cao et al., 1994; Yang and Liu, 2002; Yan et al., 2003).

Our modelling predicts that topographic loads of the western Kunlun range and the Tian Shan increased significantly from  $\sim 26$  Ma to  $\sim 13$  Ma (Fig. 9) and that this was associated with a decreasing basin width. Low-temperature thermochronology studies indicate that exhumation of the western Kunlun range (Sobel and Dumitru, 1997) and the Tian Shan (Dumitru et al., 2001) initiated during late Oligocene times. The southern Tian Shan foreland basin began to accommodate deposits at the end of the Oligocene (Li et al., 2019). These previous ages are complemented by our results. The predicted topographic loads of these two ranges kept relatively steady during  $\sim 13$  Ma to  $\sim 5$  Ma, and increased again since  $\sim 5$  Ma (Fig. 9). Our prediction of the increasing topographic load of the range since  $\sim 5$  Ma is supported by the increase in the sedimentation rate in the piedmont of the Tian Shan at  $\sim 6$  Ma (Jing et al., 2011) and  $\sim 4$  Ma (Charreau et al., 2008). The increasing topographic load of the Tian Shan at  $\sim 5$  Ma could be responsible for the main deformation of the Kuqa fold-thrust belt since the Pliocene (Chen et al., 2004).

## 8. Conclusions

We modelled the subsidence history in the internally drained Tarim Basin using the finite plate model based on the north-northeast trending seismic profile across the whole basin. Our modelling indicates that the southern and northern flexural depressions started to interfere to form a single flexural bulge within the basin since the late Paleogene. The combined flexural bulge migrated 52 km toward the western Kunlun range since ~26 Ma, reflecting a decrease in the ratio of loads of the western Kunlun range versus the Tian Shan. In addition to the flexural subsidence, there is a significant component of base-level rise and draping of the basin caused by tectonic ponding and internal drainage. The modelling results also demonstrates that the thickness of the drape increased from  $230 \pm 30$  m at ~26 Ma to  $1910 \pm 200$  m at present, occupying ~30 % of Cenozoic strata in the profile. The depositional base level of the basin rose  $356 \pm 80$  m since the Paleogene. The flexural subsidence only appeared in the south side of the basin before ~26 Ma, and occurred in both sides after this age. It reaches the maximum of 5 km in the southern margin. Our modelling indicates the western Kunlun range and the Tian Shan uplifted significantly from ~26 Ma to ~13 Ma, kept relative steady effective elevations during ~13 Ma to ~5 Ma, and uplifted since ~5 Ma under a decreasing basin width. The predicted increase in the optimal value of  $T_e$  since ~26 Ma is consistent with the cooling history of the Tarim basin. Neglecting the effect of the sediment drape leads to overestimates of the calculation of  $T_e$  and of the tectonic loads on the basin margins.

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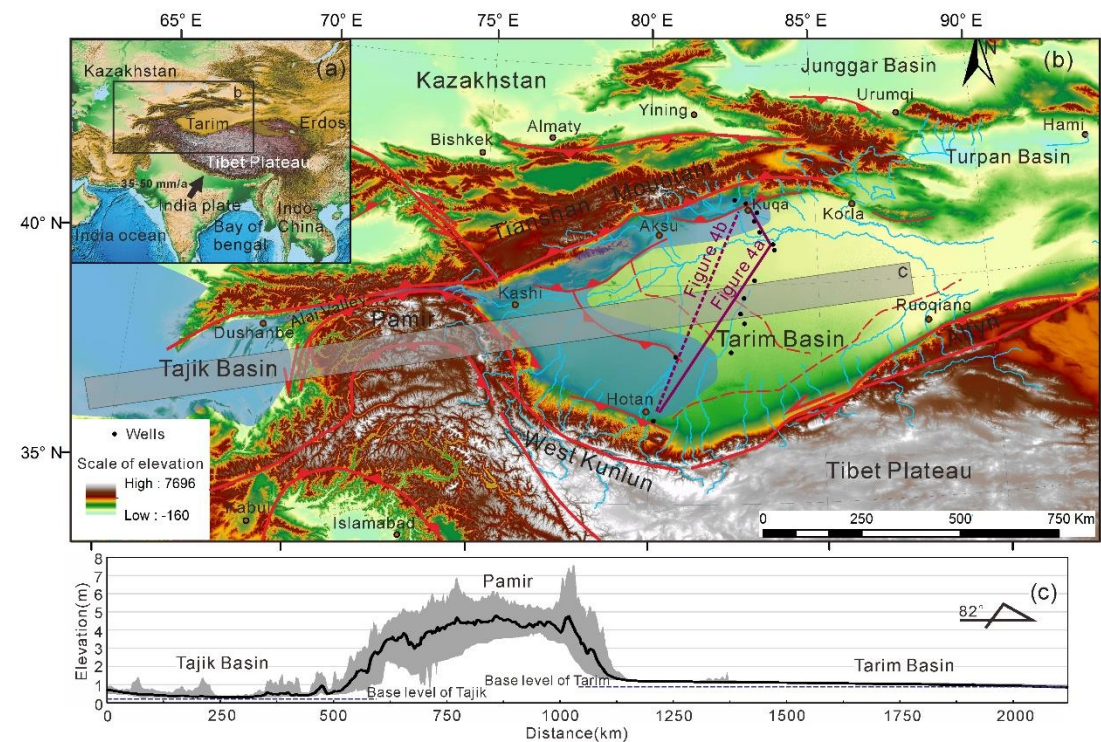
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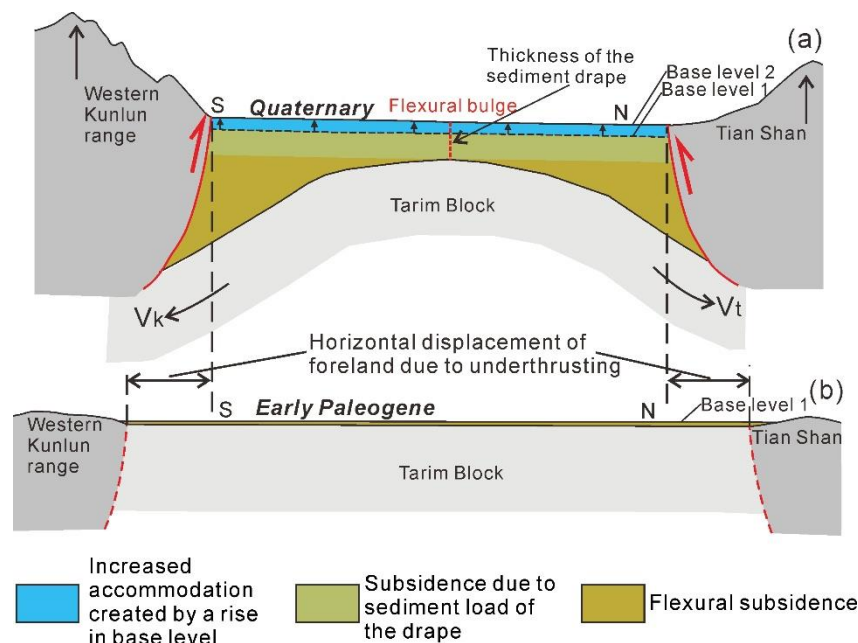
Figures

Figure 1



**Figure 1.** (a) The Tarim Basin in the India-Asia collisional system. The movement of the Indian plate is from Wang et al. (2001). (b) DEM map of the Tarim basin and adjacent area with major faults. The faults are drawn from Yin (2000) and Yin et al. (2002). Drainage network in the basin derived from DEM is marked in blue. The solid purple line is the north-northeast trending seismic profile; the purple dashed line is the projected profile. Locations of wells are marked by black dots. The blue shadow denotes the largest extent of marine incursion into the Tarim Basin during the Paleogene (Wang et al. 2014; Yang et al. 2015; Carrapa et al. 2015). (c) A topographic swath across the Tajik Basin, the Pamir plateau and Tarim Basin (for location see Fig. 1b).

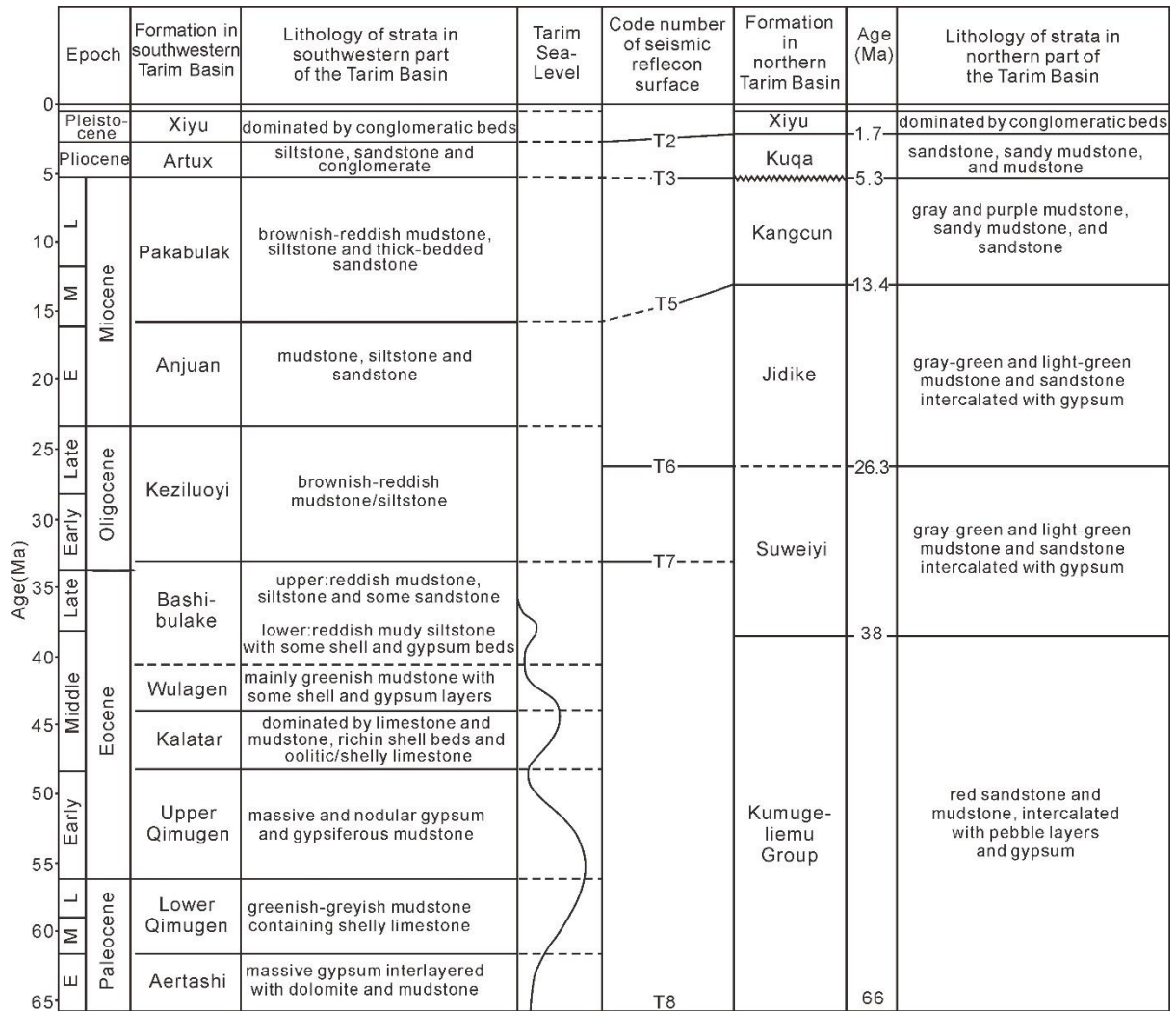
911 **Figure 2**



**Figure 2.** Sketch of the evolution of the flexural subsidence and the sediment drape in the Cenozoic Tarim Basin. The finite plate model indicates the flexural subsidence in the basin with finite width is driven by the growth of mountain topography on either side. The sediment drape comprises the increased accommodation due to a rise in base level and the subsidence due to sediment load of the drape. The basement subsidence comprises flexural subsidence and subsidence due to the sediment load of the drape. The length of the red vertical dashed line in the flexural bulge represents the thickness of the drape. The  $V_K$  and  $V_T$  are the underthrusting rates of the Tarim lithosphere beneath the western Kunlun range and the Tian Shan, respectively.

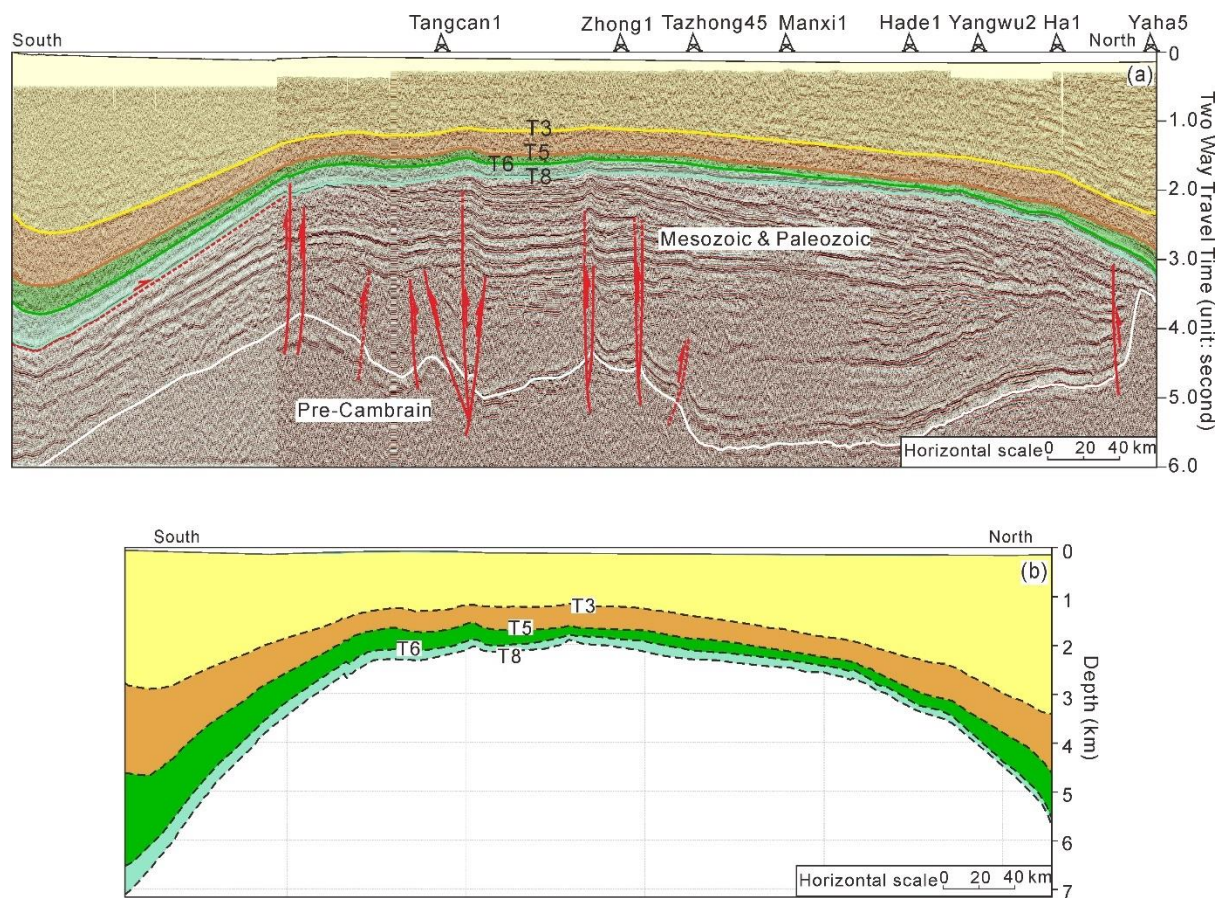


**Figure 3**



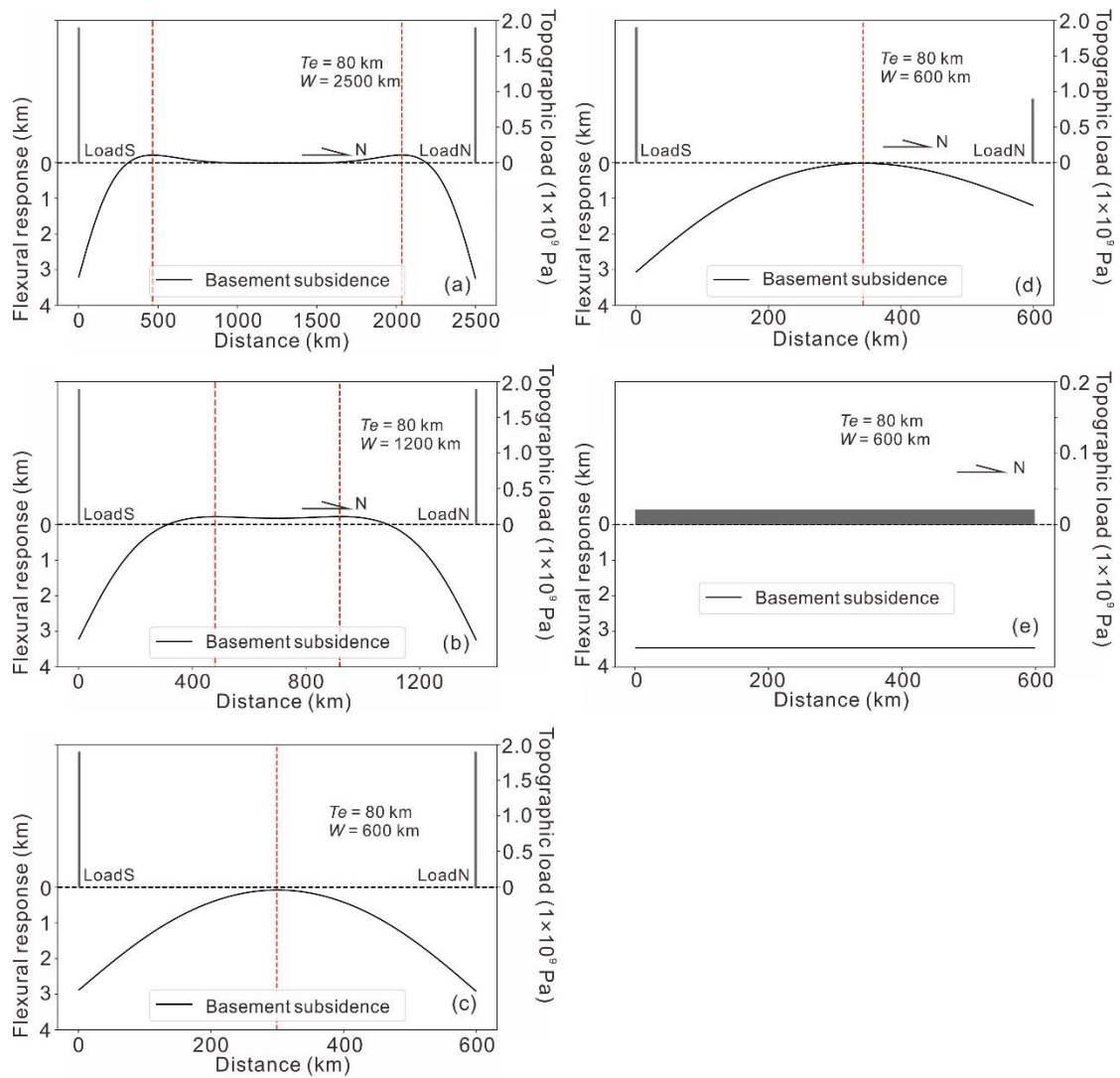
**Figure 3.** The simplified regional lithostratigraphic framework correlation of the southwestern and northern depressions of the Tarim Basin, after Bosboom et al. (2014b). The lithology of each formation is summarized from Zhang et al. (2004). The ages of formations in the southwestern depression were estimated based on works about calcareous nannofossils, bivalves, ostracods, dinoflagellate cysts and benthic foraminifera and paleomagnetostratigraphy (Bosboom et al., 2011; Bosboom et al., 2014a). The ages of formations in the northern depression are constrained by paleomagnetostratigraphic studies (Huang et al., 2006; Charreau et al., 2009; Zhang et al., 2014). The approximately relative changes in sea level of each transgression are in accordance with the reported eastward extent of each incursion into the basin (Bosboom et al., 2014a; 2014b).

**Figure 4**



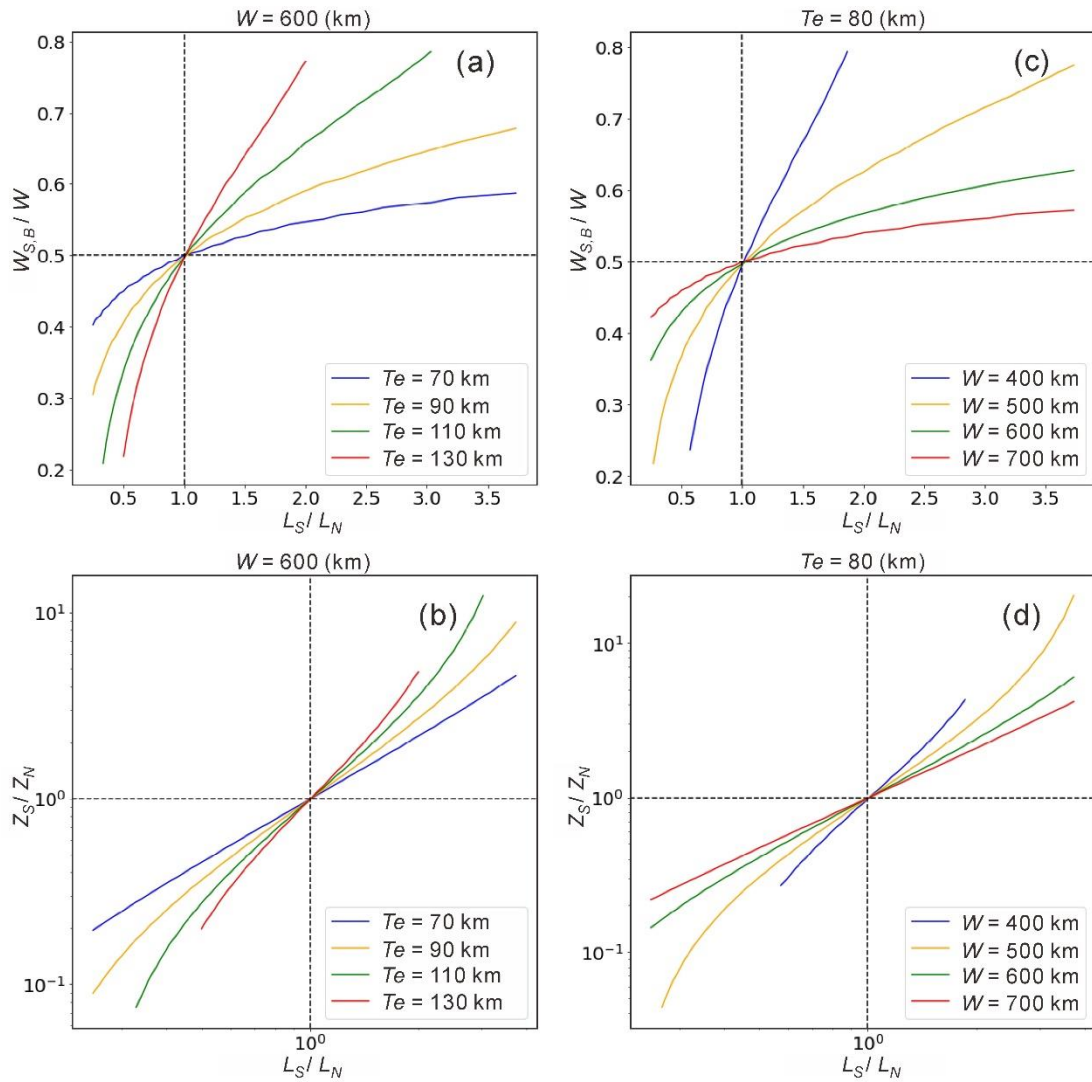
**Figure 4.** (a) The interpreted north-northeast trending seismic profile across the Tarim Basin. See Fig. 1 for its location. Vertical exaggeration is ~18X. Cenozoic strata are divided by the seismic reflectors of T3, T5, T6, and T8. The bottom of the Phanerozoic strata is marked by the white dashed line. These boundaries and faults are interpreted from well logging data near the profile and interpretations of other seismic reflection profiles across the Tarim Basin from previous publications (Jiang, 2014; Yu et al., 2014; Li et al., 2015). (b) The projected depth section of Fig. 4a. See Fig. 1b for its location.

946 **Figure 5**



947  
948 **Figure 5.** (a), (b) & (c) Effects of symmetric loadings on the northern and southern  
949 margins of the finite plate, with  $W$  of 2500 km, 1200 km and 600 km. (d) Effects of  
950 asymmetric loadings on the northern and southern margins, with  $W$  of 600 km. (e)  
951 The application of a uniform drape across the finite plate. The grey histograms in (a),  
952 (b), (c) and (d) represent the topographic loads with 2 km width on the margins of the  
953 plate. The grey filled rectangle in (e) represents the uniform topographic load imposed  
954 onto the plate. The red dashed lines mark locations of the flexural bulges.

955 **Figure 6**



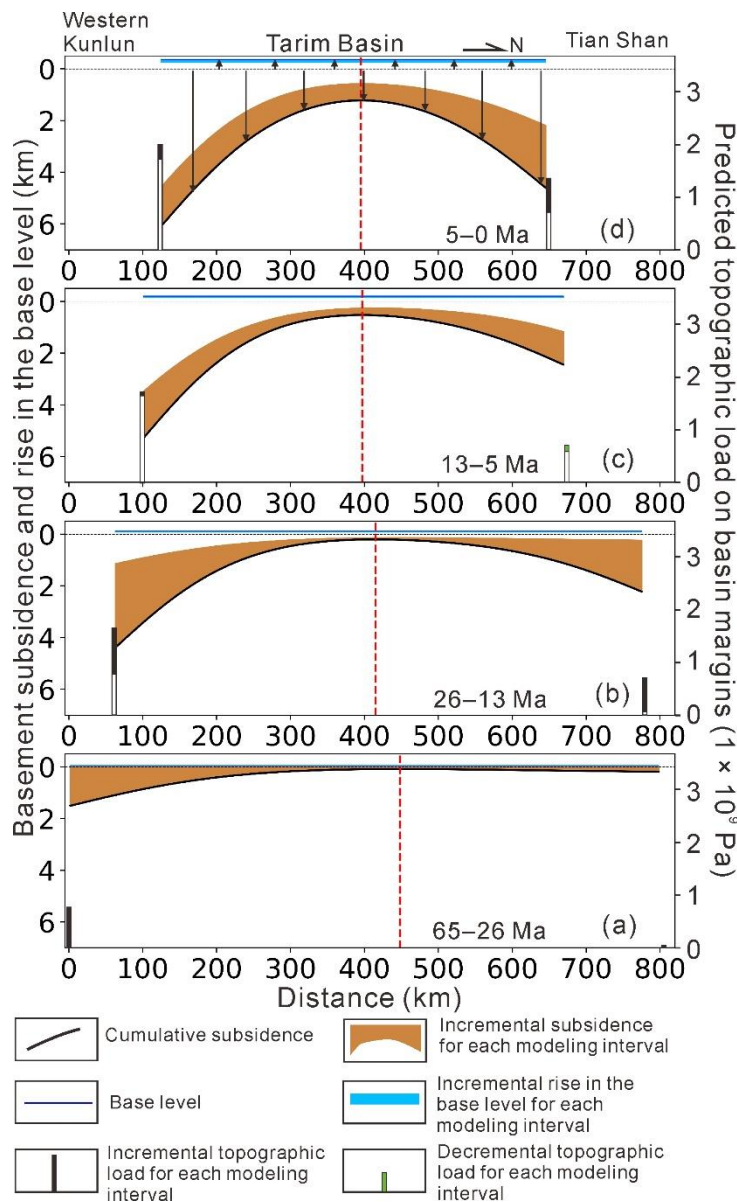
956

957 **Figure 6.** (a) and (c) The relation between positions of the flexural bulge ( $W_{S,B}/W$ )  
 958 and relative loads both sides of the plate ( $L_S/L_N$ ). (b) and (d) The relation between  
 959  $Z_S/Z_N$  and  $L_S/L_N$ . The left column shows the impact of varying  $Te$ . The right  
 960 column shows the impact of varying basin width  $W$ .  $W_{S,B}$  is the distance from the  
 961 southern boundary of the basin to the flexural bulge.  $Z_S$  and  $Z_N$  are maximum  
 962 subsidence in the south and north depressions, respectively.



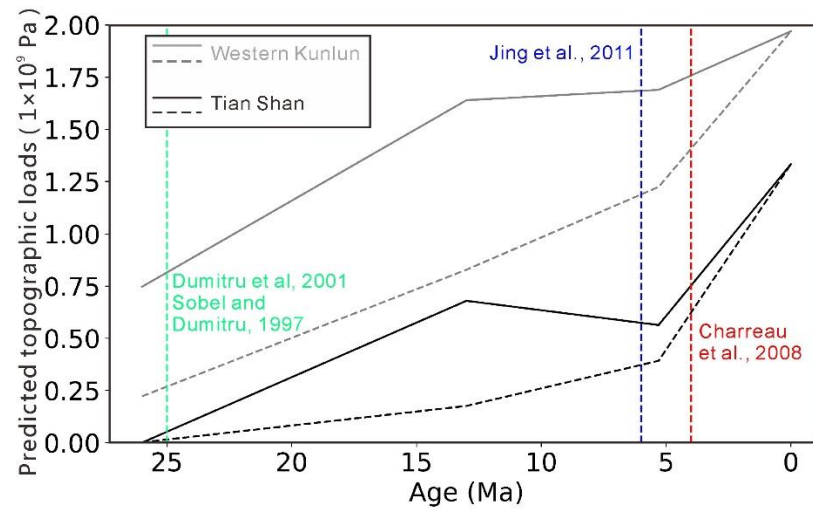


**Figure 8**



**Figure 8.** Modelled evolution of the basement subsidence and rise of the base level in the Tarim Basin with decreasing basin width. The increased accommodation due to a rise in the base level is above the horizontal datum because it is formed due to the uplift of the topography rather than the subsidence in the basin. The histograms represent the predicted topographic loads (with 2 km width) of the western Kunlun range and the Tian Shan on the southern and northern margins, respectively.

**Figure 9**



**Figure 9.** Summary of the predicted topographic loads of the western Kunlun range and the Tian Shan based on the subsidence modelling. The black and grey solid lines denote the topographic loads of the western Kunlun range and the Tian Shan under decreasing  $W$ . The black and grey dashed lines denote the topographic loads of the western Kunlun range and the Tian Shan under the fixed  $W$ . The green vertical line marks the times of the initial uplifts of the Tian Shan (Dimitru et al., 2001) and the western Kunlun range (Sobel and Dumitru, 1997) based on low-temperature thermochronological works. The times of the increases of the sediments accumulation rate in the piedmonts of the Tian Shan at ~6 Ma and ~4 Ma (Charreau et al., 2008; Jing et al., 2011) are marked as the blue and red vertical lines, respectively.

## Tables

**Table 1.** Lithological and decompaction parameters used in backstripping (Sclater and Christie, 1980).

Lithology	Compaction decay length $C^*$ ( $10^5/\text{cm}^{-1}$ )	Initial porosity $\varphi_0$	Sediment grain density $\rho_s$ (g $\text{cm}^{-3}$ )
Sandstone	0.27	0.49	2.65
Shale stone	0.51	0.63	2.72
Limestone	0.71	0.7	2.71
Shaly sand	0.39	0.56	2.68

**Table 2.** Horizontal displacements of the forelands due to underthrusting and historical basin widths (Li et al., 2019).

Time / Ma	Horizontal displacement of the foreland of the western Kunlun range / km	Horizontal displacement of the foreland of the Tian Shan / km	The width of the Tarim Basin / km
26	0	0	802
13	62	21	719
5	100	133	569
0	124	157	521

**Table 3.** Thicknesses of the sediment drapes and the cumulative increased accommodations due to rises in the base level.

Time / Ma	Thickness of the drape / m	Increased accommodation due to a rise in the base level
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		/ m
26	230±30	43±15
13	624±65	117±35
5	1123±120	210±55
0	1910±200	356±80

1004

1005 **Table 4.** Predicted topographic loads of the western Kunlun range and the Tian Shan  
1006 under fixed and decreasing basin widths.

Time / Ma	Western Kunlun range (fixed $W$ ) / MPa	Western Kunlun range (decreasing $W$ ) / MPa	Tian Shan (fixed $W$ ) / MPa	Tian Shan (decreasing $W$ ) / MPa
26	221	746	2	4
13	828	1640	176	679
5	1225	1690	392	563
0	1970	1970	1333	1333

1007